



Natural Resources Conservation Service

CONSERVATION PRACTICE STANDARD

POND

CODE 378

(no)

DEFINITION

A water impoundment made by constructing an embankment or by excavating a pit or dugout.

In this standard, ponds constructed by the first method are referred to as embankment ponds, and those constructed by the second method are referred to as excavated ponds. Ponds constructed by both the excavation and the embankment methods are classified as embankment ponds if the depth of water impounded against the embankment at the auxiliary spillway elevation is 3 feet or more.

PURPOSE

This practice is used to accomplish one or more of the following purposes:

- To provide water for livestock, fish and wildlife, recreation, fire control, and other related uses, and to maintain or improve water quality

CONDITIONS WHERE PRACTICE APPLIES

This standard establishes the minimum acceptable quality for the design and construction of low-hazard ponds where:

- Failure of the dam will not result in loss of life; damage to homes, commercial or industrial buildings, main highways, or railroads; or in interruption of the use or service of public utilities.
- The product of the storage times the effective height of the dam is less than 3,000. Storage is the volume, in acre-feet, in the reservoir below the elevation of the crest of the auxiliary spillway. The effective height of the dam is the difference in elevation, in feet, between the auxiliary spillway crest and the lowest point in the cross section taken along the centerline of the dam. If there is no auxiliary spillway, the top of the dam is the upper limit.
- The effective height of the dam is 35 feet or less.

CRITERIA

Criteria Applicable to All Purposes

All federal, state and local requirements shall be addressed in the design.

A protective cover of vegetation shall be established on all exposed areas of embankments, spillways and borrow areas as climatic conditions allow, according to the guidelines in conservation practice standard 342, Critical Area Planting.

Site Conditions

Site conditions shall be such that runoff from the design storm can be safely passed through (1) a natural or constructed auxiliary spillway, (2) a combination of a principal spillway and an auxiliary spillway, or (3) a principal spillway.

NRCS reviews and periodically updates conservation practice standards. To obtain the current version of this standard, contact your Natural Resources Conservation Service State office or visit the Field Office Technical Guide online by going to the NRCS website at <https://www.nrcs.usda.gov/> and type FOTG in the search field.

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Drainage Area

The drainage area above the pond must be protected again erosion to the extent that expected sedimentation will not shorten the planned effective life of the structure. The drainage area shall be large enough so that surface runoff and groundwater will provide an adequate supply of water for the intended purpose unless an alternate water source exists to serve this purpose. The quality shall be suitable for the water's intended use.

Reservoir Area

The topography and geology of the site shall permit storage of water at a depth and volume that will ensure a dependable supply, considering beneficial use, sedimentation, season of use, and evaporation and seepage losses. If surface runoff is the primary source of water for a pond, the soils shall be impervious enough to prevent excessive seepage losses or shall be of a type that sealing is practicable.

Design Criteria for Embankment Ponds**Geological Investigations**

Pits, trenches, borings, review of existing data or other suitable means of investigation shall be conducted to characterize materials within the embankment foundation, auxiliary spillway and borrow areas. Soil materials shall be classified using the Unified Soil Classification System.

Foundation Cutoff

A cutoff of relatively impervious material shall be provided under the dam if necessary to reduce seepage through the foundation. The cutoff shall be located at or upstream from the centerline of the dam. It shall extend up the abutments as required and be deep enough to extend into a relatively impervious layer or provide for a stable dam when combined with seepage control. The cutoff trench shall have a bottom width adequate to accommodate the equipment used for excavation, backfill, and compaction operations. Side slopes shall not be steeper than one horizontal to one vertical.

Seepage Control

Seepage control is to be included if (1) pervious layers are not intercepted by the cutoff, (2) seepage could create swamping downstream, (3) such control is needed to insure a stable embankment, or (4) special problems require drainage for a stable dam. Seepage may be controlled by (1) foundation, abutment, or embankment filters and drains; (2) reservoir blanketing; or (3) a combination of these measures.

Embankment

The minimum top width for a dam is shown in Table 1. If the embankment top is to be used as a public road, the minimum width shall be 16 feet for one-way traffic and 26 feet for two-way traffic. Guardrails or other safety measures shall be used where necessary and shall meet the requirements of the responsible road authority. For dams less than 20 feet in height, maintenance considerations or construction equipment limitations may require increased top widths from the minimum shown in Table 1.

Table 1. Minimum top width for dams.

Total height of dam (feet)	Top width (feet)
Less than 10	6
10–14.9	8
15–19.9	10
20–24.9	12
25–34.9	14
35 or more	15

Side Slopes

The combined upstream and downstream side slopes of the settled embankments shall not be less than five horizontal to one vertical, and neither slope shall be steeper than two horizontal to one vertical. All

slopes must be designed to be stable, even if flatter side slopes are required. Downstream or upstream berms can be used to help achieve stable embankment sections.

Slope Protection

If needed to protect the slopes of the dam from erosion, special measures, such as berms, rock riprap, sand-gravel, soil cement, or special vegetation, shall be provided (Technical Releases 56, "A Guide for Design and Layout of Vegetative Wave Protection for Earth Dam Embankments" and 69, "Riprap for Slope Protection Against Wave Action" contain design guidance).

Freeboard

The minimum elevation of the top of the settled embankment shall be 1 foot above the water surface in the reservoir with the auxiliary spillway flowing at design depth. The minimum difference in elevation between the crest of the auxiliary spillway and the settled top of the dam shall be 2 feet for all dams having more than a 20-acre drainage area or more than 20 feet in effective height.

Settlement

The design height of the dam shall be increased by the amount needed to insure that after settlement the height of the dam equals or exceeds the design height. This increase shall not be less than 5 percent of the height of the dam, except where detailed soil testing and laboratory analyses or experience in the area show that a lesser amount is adequate.

Principal Spillway

A pipe conduit, with needed appurtenances, shall be placed under or through the dam, except where rock, concrete, or other types of lined spillways are used, or where the rate and duration of flow can be safely handled by a vegetated or earth spillway.

For dams with a drainage area of 20 acres or less, the principal spillway crest elevation shall not be less than 0.5 feet below the auxiliary spillway crest elevation. For dams with a drainage area over 20 acres, this difference shall not be less than 1.0 feet.

When design discharge of the principal spillway is considered in calculating peak outflow through the auxiliary spillway, the crest elevation of the inlet shall be such that the design discharge will be generated in the conduit before there is discharge through the auxiliary spillway.

Pipe conduits designed for pressure flow must have adequate anti-vortex devices. The inlets and outlets shall be designed to function satisfactorily for the full range of flow and hydraulic head anticipated.

The capacity of the pipe conduit shall be adequate to discharge long-duration, continuous, or frequent flows without flow through the auxiliary spillways. The diameter of the principal spillway pipe shall not be less than 4 inches. Pipe conduits used solely as a supply pipe through the dam for watering troughs and other appurtenances shall not be less than 1-1/4 inches in diameter.

If the pipe conduit diameter is 10 inches or greater, its design discharge may be considered when calculating the peak outflow rate through the auxiliary spillway.

Pipe conduits shall be ductile iron, welded steel, corrugated steel, corrugated aluminum, reinforced concrete (pre-cast or site-cast), or plastic. Pipe conduits through dams of less than 20 feet total height may also be cast iron or unreinforced concrete.

Pipe conduits shall be designed and installed to withstand all external and internal loads without yielding, buckling, or cracking. Rigid pipe shall be designed for a positive projecting condition. Flexible pipe shall be designed for a maximum deflection of 5 percent. The modulus of elasticity for PVC pipe shall be assumed as one-third of the amount designated by the compound cell classification to account for long-term reduction in modulus of elasticity. Difference reductions in modulus may be appropriate for other plastic pipe materials.

The minimum thickness of flexible pipe shall be SDR 26, Schedule 40, Class 100, or 16 gage as appropriate for the particular pipe material. Connections of flexible pipe to rigid pipe or other structures shall be designed to accommodate differential movements and stress concentrations.

All pipe conduits shall be designed and installed to be water tight by means of couplings, gaskets, caulking, waterstops, or welding. Joints shall be designed to remain watertight under all internal and external loading including pipe elongation due to foundation settlement.

Pipe conduits shall have a concrete cradle or bedding if needed to provide improved support for the pipe to reduce or limit structural loading on pipe to allowable levels.

Cantilever outlet sections, if used, shall be designed to withstand the cantilever load. Pipe supports shall be provided when needed. Other suitable devices such as a Saint Anthony Falls stilling basin or an impact basin may be used to provide a safe outlet.

All steel pipe and couplings shall have protective coatings in areas that have traditionally experienced pipe corrosion, or in embankments with saturated soil resistivity less than 4000 ohms-cm or soil pH less than 5. Protective coatings shall be asphalt, polymer over galvanizing, aluminized coating or coal tar enamel as appropriate for the pipe type. Plastic pipe that will be exposed to direct sunlight shall be ultraviolet-resistant and protected with a coating or shielding, or provisions provided for replacement as necessary.

Cathodic Protection

Cathodic protection is to be provided for coated welded steel and galvanized corrugated metal pipe where soil and resistivity studies indicate that the pipe needs a protective coating, and where the need and importance of the structure warrant additional protection and longevity. If cathodic protection is not provided for in the original design and installation, electrical continuity in the form of joint-bridging straps should be considered on pipes that have protective coatings. Cathodic protection should be added later if monitoring indicates the need.

Seepage Control

Seepage control along a pipe conduit spillway shall be provided if any of the following conditions exist:

1. The effective height of dam is greater than 15 feet.
2. The conduit is of smooth pipe larger than 8 inches in diameter.
3. The conduit is of corrugated pipe larger than 12 inches in diameter.

Seepage along pipes extending through the embankment shall be controlled by use of a drainage diaphragm, unless it is determined that anti-seep collars will adequately serve the purpose.

Drainage Diaphragm

The drainage diaphragm shall function both as a filter for adjacent base soils and a drain for seepage that it intercepts. The drainage diaphragm shall consist of sand meeting the requirements of ASTM C-33, for fine aggregate. If unusual soil conditions exist such that this material may not meet the required filter or capacity requirements, a special design analysis shall be made.

The drainage diaphragm shall be a minimum of 2 feet thick and extend vertically upward and horizontally at least three times the outside pipe diameter, and vertically downward at least 18 inches beneath the conduit invert. The drainage diaphragm shall be located immediately downstream of the cutoff trench, but downstream of the centerline of the dam if the cutoff is upstream of the centerline.

The drainage diaphragm shall be outletted at the embankment downstream toe using a drain backfill envelope continuously along the pipe to where it exits the embankment. Drain fill shall be protected from surface erosion.

Anti-seep Collars

When anti-seep collars are used in lieu of a drainage diaphragm, they shall have a watertight connection to the pipe.

Maximum spacing shall be approximately 14 times the minimum projection of the collar measured perpendicular to the pipe but not more than 25 feet. The minimum spacing shall be 10 feet. Collar material shall be compatible with pipe materials. The anti-seep collar(s) shall increase by at least 15 percent the seepage path along the pipe.

Trash Guard

To prevent clogging of the conduit, an appropriate trash guard shall be installed at the inlet or riser unless the watershed does not contain trash or debris that could clog the conduit.

Other Outlets

A pipe with a suitable valve shall be provided to drain the pool area if needed for proper pond management or if required by State law. The principal spillway conduit may be used as a pond drain if it is located where it can perform this function.

Auxiliary Spillways

Auxiliary spillways convey large flood flows safely past earth embankments and have historically been referred to as "Emergency Spillways".

An auxiliary spillway must be provided for each dam, unless the principal spillway is large enough to pass the peak discharge from the routed design hydrograph and the trash that comes to it without overtopping the dam. The following are minimum criteria for acceptable use of a closed conduit principal spillway without an auxiliary spillway: a conduit with a cross-sectional area of 3 ft.² or more, an inlet that will not clog, and an elbow designed to facilitate the passage of trash.

The minimum capacity of a natural or constructed auxiliary spillway shall be that required to pass the peak flow expected from a design storm of the frequency and duration shown in Table 2, less any reduction creditable to conduit discharge and detention storage.

The auxiliary spillway shall safely pass the peak flow, or the storm runoff shall be routed through the reservoir. The routing shall start either with the water surface at the elevation of the crest of the principal spillway or at the water surface after 10 days' drawdown, whichever is higher. The 10-day drawdown shall be computed from the crest of the auxiliary spillway or from the elevation that would be attained if the entire design storm were impounded, whichever is lower. Auxiliary spillways shall provide for passing the design flow at a safe velocity to a point downstream where the dam will not be endangered.

Constructed auxiliary spillways are open channels that usually consist of an inlet channel, a control section, and exit channel. They shall be trapezoidal and shall be located in undisturbed or compacted earth or in-situ rock. The slide slopes shall be stable for the material in which the spillway is to be constructed. For dams having an effective height exceeding 20 feet, the auxiliary spillway shall have a bottom width of not less than 10 feet.

Upstream from the control section, the inlet channel shall be level for the distance needed to protect and maintain the crease elevation of the spillway. The inlet channel may be curved to fit existing topography. The grade of the exit channel of a constructed auxiliary spillway shall fall within the range established by discharge requirements and permissible velocities.

Structural Auxiliary Spillways

If chutes or drops are used for principal spillways or auxiliary spillways, they shall be designed according to the principles set forth in the Part 650, Engineering Field Handbook and the National Engineering Handbook, Section 5, Hydraulics; Section 11, Drop Spillways; and Section 14, Chute Spillways. The minimum capacity of a structural spillway shall be that required to pass the peak flow expected from a

design storm of the frequency and duration shown in Table 2, less any reduction creditable to conduit discharge and detention storage.

Table 2. Minimum Auxiliary Spillway Capacity

Minimum design storm 2,3				
Drainage Area (Ac.)	Effective height of dam ¹ (Ft.)	Storage (Ac-Ft)	Principle Spillway Frequency ⁴	Emergency Spillway Frequency ⁴
20 or less	20 or less	<than 50	5	10
20 or less	>than 20	<than 50	10	25
>than 20	20 or less	<than 50	10	25
>than 20	10 or less	<than 100	10	50
All Others			25	50

1. As defined under "Conditions where Practice Applies."
2. Select rain distribution based on climatological region.
3. Minimum duration 24 hours
4. Years

Additional Criteria for Excavated Ponds

Runoff

Provisions shall be made for a pipe and auxiliary spillway, if needed, that will meet the capacity requirements of Table 2. Runoff flow patterns shall be considered when locating the excavated pond and placing the spoil.

Side Slopes

Side slopes of excavated ponds shall be stable and shall not be steeper than one horizontal to one vertical. If livestock will water directly from the pond, a watering ramp of ample width shall be provided. The ramp shall extend to the anticipated low water elevation at a slope no steeper than three horizontal to one vertical.

Inlet Protection

If surface water enters the pond in a natural or excavated channel, the side slope of the pond shall be protected against erosion.

Excavated Material

The material excavated from the pond shall be placed so that its weight will not endanger the stability of the pond side slopes and it will not be washed back into the pond by rainfall. It shall be disposed of in one of the following ways:

1. Uniformly spread to a height that does not exceed 3 feet, with the top graded to a continuous slope away from the pond.
2. Uniformly placed or shaped reasonably well, with side slopes assuming a natural angle of repose. The excavated material will be placed at a distance equal to the depth of the pond but not less than 12 feet from the edge of the pond.
3. Shaped to a designed form that blends visually with the landscape.
4. Used for low embankment construction and leveling of surrounding landscape.
5. Hauled away.

CONSIDERATIONS

The visual design of ponds should be carefully considered in areas of high public visibility and those associated with recreation. The underlying criterion for all visual design is appropriateness. The shape and

form of ponds, excavated material, and plantings are to relate visually to their surroundings and to their function.

The embankment may be shaped to blend with the natural topography. The edge of the pond may be shaped so that it is generally curvilinear rather than rectangular. Excavated material can be shaped so that the final form is smooth, flowing, and fitting to the adjacent landscape rather than angular geometric mounds. If feasible, islands may be added for visual interest and to attract wildlife.

Project location and construction should minimize the impacts to existing fish and wildlife habitat.

When feasible, structure should be retained, such as trees in the upper reaches of the pond and stumps in the pool area. Upper reaches of the pond can be shaped to provide shallow areas and wetland habitat.

If fish are to be stocked, consider criteria and guidance in conservation practice standard 399, Fishpond Management.

Stockpiling topsoil for placement on disturbed areas can facilitate revegetation.

Consider placement and selection of vegetation to improve fish and wildlife habitat and species diversity.

Water Quantity

Consider effects upon components of the water budget, especially:

- Effects on volumes and rates of runoff, infiltration, evaporation, transpiration, deep percolation, and groundwater recharge.
- Variability of effects caused by seasonal or climatic changes.
- Effects on downstream flows and impacts to the environment such as wetlands, aquifers, and social and economic impacts to downstream uses or users.
- Potential for multiple purposes.

Water Quality

- Consider effects on erosion and the movement of sediment, pathogens, and soluble and sediment-attached substances that are carried by runoff.
- Effects on the visual quality of onsite and downstream water resources.
- Short-term and construction-related effects of this practice on the quality of downstream water courses.
- Effects of water level control on the temperatures of downstream water to prevent undesired effects on aquatic and wildlife communities.
- Effects on wetlands and water-related wildlife habitats.
- Effects of water levels on soil nutrient processes such as plant nitrogen use or denitrification.
- Effects of soil water level control on the salinity of soils, soil water, or downstream water.
- Potential for earth moving to uncover or redistribute toxic materials such as acid soils.

This practice has the potential to affect National Register listed cultural resources or eligible (significant) cultural resources. These may include archeological, historic, or traditional cultural properties. Care should be taken to avoid adverse impacts to these resources. Follow NRCS state policy for considering cultural resources during planning.

PLANS AND SPECIFICATIONS

Plans and specifications for establishment of this practice shall be prepared in accordance with the previously listed criteria. Plans and specifications shall contain sufficient detail to ensure success of the practice. Documentation shall be in accordance with the section "Supporting Data and Documentation" in this standard.

SUPPORTING DATA AND DOCUMENTATION

The following is a list of the minimum data and documentation to be recorded in the case file:

1. Extent of planting in acres, field number, and the location of the practice marked on the conservation plan map;
2. Assistance notes shall include dates of site visits, name or initials of the person who made the visit, specifics as to alternatives discussed, decisions made, and by whom;
3. Completed copy of the appropriate job sheet(s) or other specifications and operation and management plan.

Field Data and Survey Notes

The following is a list of the minimum data needed:

1. Plan view sketch.
2. Establish and describe a temporary benchmark.
3. Soil investigation logs and notes.
4. Survey of storage area to develop topography and storage volumes.
5. Location and elevation of soil borings.
6. Location and description of trees and other obstacles that may need to be removed.
7. Profile along centerline of embankment.
8. Profile along centerline of principal spillway.
9. Profile along centerline of emergency spillway.

Design Data

Record on appropriate engineering paper. For guidance on the preparation of engineering plans see Chapter 5 of the Engineering Field Handbook - Part 650. The following is a list of the minimum required design data:

1. Determine pond class and list appropriate spillway design criteria, including map.
2. Develop a stage-storage/discharge curve for the site.
3. Determine peak runoff from the contributing drainage area for the required design storm in accordance with Chapter 2, EFH, Part 650 or by other approved method.
4. Size the principal spillway in accordance with Chapter 3, EFH, Part 650, or other source.
5. Size the emergency spillway in accordance with Chapter 11, EFH, Part 650, or other source.
6. Provide for the safe outlet of discharge from the pond.
7. Provide for the control of erosion during and following construction.
8. Drawings should show the following as a minimum: profile along centerline of dam; profile along centerline of emergency spillway; cross section through dam at principal spillway, cross section through emergency spillway; plan view; and construction details and notes and soil logs.
9. Show job class on the plan.
10. Estimated Quantities.
11. Planting plan with seed, lime, fertilizer requirements.

Construction Check Data/As-Built Plans

Record on survey notepaper, NRCS-ENG-28, or other appropriate engineering paper. Survey data will be plotted in red on the as-built plans. The following is a list of minimum data needed for As-built documentation:

1. Profile notes along centerline of top of completed embankment.
2. Cross section notes at one or more locations on the completed embankment.
3. A profile along the centerline of the principal spillway extending at least 100 feet downstream of the fill.
4. The elevation of the principal spillway crest.
5. The elevation of the principal spillway conduit invert (inlet and outlet).
6. The diameter, length, thickness and type of material for the riser.
7. The diameter, length, and type of material for the conduit.
8. Cross-section and profile notes of emergency spillways, as appropriate, to determine whether planned grade and dimensions have been met.
9. The size and type of anti-vortex and trash.
10. Statement as to the condition or adequacy of vegetation on the embankment, emergency spillway, and other disturbed areas.
11. Type and location of fencing and safety features where appropriate.
12. Final quantities and documentation for quantity changes. Materials certifications as appropriate.
13. Sign and date check-notes and plans by someone with appropriate approval authority. Include statement that practice meets or exceeds plans and NRCS practice standards.

OPERATION AND MAINTENANCE

An operation and maintenance (O&M) plan shall be prepared for each management unit. The plan shall provide specific instructions for operating and maintaining the system to insure that it functions properly. It shall also provide for periodic inspections and prompt repair or replacement of damaged components. Appropriate job sheet(s), fact sheets, or other information sheets may be used to serve as the management plan as well as supporting documentation and shall be provided to the client. These sheets shall be referenced in the conservation plan narrative.

REFERENCES

None